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APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/706,406 11/03/2000 James P. Tagg TAG P-3 7866 7590 02/10/2005 EXAMINER STEPHEN M. CHIN NGUYEN, HAI V REED SMITH LLP ART UNIT PAPER NUMBER 599 LEXINGTON AVENUE NEW YORK, NY 10022 2142

DATE MAILED: 02/10/2005

Please find below and/or attached an Office communication concerning this application or proceeding.



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| APPLICATION NO./<br>CONTROL NO. | FILING DATE | FIRST NAMED INVENTOR / PATENT IN REEXAMINATION |          | ATTORNEY DOCKET NO. |
|---------------------------------|-------------|--|----------|---------------------|
|                                 |             | -  | EXAMINER |                     |
|                                 | •           |  |          |                     |
|                                 |             |  | ART UNIT | PAPER               |
|                                 |             |  |          | 01182005            |

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner for Patents** 

This communication restarts the period for response to the non-Final Office Action mailed on 23 August 2003 for the case 09/706,406 because the mailing address of Applicant's agent was incorrect

|   |   | Application No.  | Applicant(s)   |  |  |  |  |
|---|---|--|--|--|--|--|--|
| Office Action Summary   |   | 09/706,406   | TAGG, JAMES P.   |  |  |  |  |
|   |   | Examiner   | Art Unit   |  |  |  |  |
|   |   | Hai V. Nguyen  | 2142   |  |  |  |  |
| Period fo   | The MAILING DATE of this communicat<br>or Reply   | ion appears on the cover sheet wi  | th the correspondence address  |  |  |  |  |
| THE - Exte after - If the - If NC - Failt Any   | ORTENED STATUTORY PERIOD FOR MAILING DATE OF THIS COMMUNICA nsions of time may be available under the provisions of 37 SIX (6) MONTHS from the mailing date of this communicate period for reply specified above is less than thirty (30) day period for reply is specified above, the maximum statutor tree to reply within the set or extended period for reply will, I reply received by the Office later than three months after the patent term adjustment. See 37 CFR 1.704(b). | TION.  CFR 1.136(a). In no event, however, may a ration.  ys, a reply within the statutory minimum of third y period will apply and will expire SIX (6) MON by statute, cause the application to become AB | eply be timely filed  y (30) days will be considered timely.  THS from the mailing date of this communication.  ANDONED (35 U.S.C. § 133). |  |  |  |  |
| Status  |   |  |  |  |  |  |  |
| 1)🖂   | Responsive to communication(s) filed o  | n <u>27 May 2004</u> .   |  |  |  |  |  |
| 2a) <u></u> ☐   | This action is <b>FINAL</b> . 2b)   | This action is non-final.  |  |  |  |  |  |
| 3)□   | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.   |  |  |  |  |  |  |
| Disposit  | ion of Claims   |  |  |  |  |  |  |
| 5)  | Claim(s) <u>1-8, 11-13b, 15-16, and 20</u> is/a 4a) Of the above claim(s) is/are w Claim(s) is/are allowed.  Claim(s) <u>1-8, 11-13b, 15-16, and 20</u> is/a Claim(s) is/are objected to.  Claim(s) are subject to restriction  | vithdrawn from consideration.  |  |  |  |  |  |
| Applicat  | ion Papers  |  |  |  |  |  |  |
| 9)[   | The specification is objected to by the Ex  | kaminer.   |  |  |  |  |  |
| 10)[  | 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.   |  |  |  |  |  |  |
|   | Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |  |  |  |  |  |  |
| 11)   | Replacement drawing sheet(s) including the<br>The oath or declaration is objected to by   | -  | • •  |  |  |  |  |
| Priority (  | ınder 35 U.S.C. § 119   | ,  |  |  |  |  |  |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received. |   |  |  |  |  |  |  |
| Attachmen   |   | _  |  |  |  |  |  |
| 1)  Notic   | e of References Cited (PTO-892)<br>e of Draftsperson's Patent Drawing Review (PTO-9   | 4) ☐ Interview S<br>Paper Note   | ummary (PTO-413)<br>s)/Mail Date   |  |  |  |  |
| 3) 🔲 Infori   | mation Disclosure Statement(s) (PTO-1449 or PTO r No(s)/Mail Date   | /SB/08) 5) Notice of Ir<br>6) Other:   | formal Patent Application (PTO-152)  |  |  |  |  |

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#### **DETAILED ACTION**

- 1. This Office Action is in response to the communication received on 27 May 2004.
- 2. Claims 1-8, 11-13b, 15, 16, and 20 are presented for examination.

#### Claim Rejections - 35 USC § 112

- The following is a quotation of the second paragraph of 35 U.S.C. 112:
   The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 4. Claims 1, 11, 12, 13b, 15, 20 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- 5. Claim 1 recites the limitation of "... the configuration of a tunnel....under control of the client system, the connection to the host system... the comparison... the mobile system to the target network thru the fixed system." in claim 1. There is insufficient antecedent basis for this limitation in the claim.
- 6. Claim 11 recites the limitation of "As claimed in 1 where **the** link...". There is insufficient antecedent basis for this limitation in the claim.
- 7. Claim 12 recites the limitation of "As claimed in 1 where **the** client configuration...". There is insufficient antecedent basis for this limitation in the claim.
- 8. Claim 13b recites the limitation of "As claimed in 1 where **packets** can be routed thru **the** cellular system to **the** Internet automatically upon loss of **the** fixed wireless connection.". There is insufficient antecedent basis for this limitation in the claim
- 9. Claim 15 recites the limitation of "As claimed in 1 where **the** data stream is encrypted, either selectively or prior to being sent to **the** server and decrypted prior to

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being sent to the network such that **the** privacy and security of the client are maintained". There is insufficient antecedent basis for this limitation in the claim.

10. Claim 20 recites the limitation of "A system as described in 1 where **the** server…". There is insufficient antecedent basis for this limitation in the claim.

### Claim Objections

- 11. Claim 20 is objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form.
- 12. Claim 20 recites the limitation of "A system as described in 1 where the server is any available member located at sufficient physical and or logical distance from the host that security and privacy are maintained". While claim 1 recites the method of connection to a network.

### Claim Rejections - 35 USC § 103

- 13. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 14. Claims 1-8, 11, 12, 13b, 15, 16, and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Paulsen** et al. U.S. patent no. **6,055,575** in view of **Lynch** U.S. patent no. **6,487,600 B1**.

15. As to claim 1, Paulsen, Virtual Private Network System And Method, discloses a method of connection to a network comprising:

under control of host system, the configuration of a tunnel (Paulsen, Abstract, col. 3, lines 25-61).

under the control of client system, the connection to the host system (*Paulsen*, *Abstract*, *col.* 3, *lines* 25-61). However, Paulsen does not explicitly disclose a matching means for comparing system preferences to establish the secure connection between the mobile system and the target network thru the fixed network. Thus, the artisan would have been motivated to look into the related networking art for potential system for implementing under control of a matching means, the comparison of the host system and client system preferences and, if a match is made, the automatic establishing of a secure link from the mobile system to the target network thru the fixed system.

In the same field of endeavor, Lynch, related System And Method For Supporting Multimedia Communications Upon A Dynamically Configured Member Network, discloses in analogy art, disclose as an alternate operation, communication are accomplished via the Internet or another packet switched network. As compared to the links established in a telephone network, these links may be called "pseudo links" because no direct channel is established between network members. However, in stabling the pseudo links, analogous techniques are employed to ensure that only two intended network members communicate over the pseudo link. In one embodiment, a tunnel is setup for each link established and released when the link is complete (Lynch,

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col. 3, line 55 – col. 4, line 49; col. 10, 54 – col. 11, line 22; col. 15, line 56 – col. 17, line 15).

Accordingly, it would have been obvious to one of ordinary skill in the networking art at the time the invention made to have incorporated Paulsen's teachings of providing the control of host and client systems for configuration and connection (Paulsen, Abstract, col. 3, lines 25-61) with the teachings of Lynch, for the purpose of enhancing the security of the link (Lynch, col. 4, lines 40-49). Paulsen also suggests that the system also permits an individual to access the private data network without incurring any long distance telephone charges (Paulsen, col. 3, lines 25-61).

- 16. As to claim 2, Paulsen-Lynch discloses wherein the comparison is made in a server (Lynch, Fig. 23, item 2300).
- 17. As to claim 3, Paulsen-Lynch discloses wherein the fixed network is the Internet (Lynch, Fig. 23, item 2316 to Internet).
- 18. As to claim 4, Paulsen-Lynch discloses wherein the fixed network is the PSTN (Lynch, Fig. 23, item 2320 to PSTN).
- 19. As to claim 5, Paulsen-Lynch discloses where the fixed system is, itself, mobile and connected to another fixed system in a manner that can be repeated recursively until a connection to the target network is made (*Lynch*, col. 12, lines 1-12; col. 13, line 66 col. 14, line 13).
- 20. As to claim 6, Paulsen-Lynch discloses where the host systems and the client systems are members of service that givers reciprocal roaming rights (*Lynch*, col. 20, lines 1-25).

- 21. As to claim 7, Paulsen-Lynch discloses where the host system publishes a cost of obtaining a link and the mobile client system has a preprogrammed limit to the amount it is willing to pay for a link and of the cost is less than or equal to the limit then the link is automatically established (*Lynch*, col. 14, lines 14-59).
- 22. As to claim 8, Paulsen-Lynch discloses where the host system is not connected to a network and will make connection to that network upon request by a client (Lynch, col. 21, line 49 col. 22, line 25).
- 23. As to claim 11, Paulsen-Lynch discloses where the link is not automatically established out rather the user is given notification of availability of a link that they accept or reject (*Lynch*, col. 21, line 49 col. 22, line 25).
- 24. As to claim 12, Paulsen-Lynch discloses where the client configuration is performed automatically by the host upon boot up (Lynch, col. 21, line 49 col. 22, line 25).
- 25. As to claim 13b, Paulsen-Lynch discloses where packets can be automatically routed thru multiple connection means under control of the mobile device and server system (Lynch, col. 21, line 49 col. 22, line 25; col. 35, lines 6-67).
- 26. As to claim 15, Paulsen-Lynch discloses where the data stream is encrypted, either selectively or prior to being sent to the server and decrypted prior to being sent to the network such that the privacy and security for the client are maintained (*Lynch*, col. 3, line 55 col. 4, line 49; col. 21, line 49 col. 22, line 25; col. 35, lines 6-67).

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- 27. As to claim 16, Paulsen-Lynch discloses where the encryption can be selectively applied to the data stream depending on preferences (*Lynch*, col. 3, line 55 col. 4, line 49; col. 21, line 49 col. 22, line 25; col. 35, lines 6-67).
- 28. As to claim 20, Paulsen-Lynch discloses where the server is nay available member located at sufficient physical or logical distance from the host that security and privacy are maintained (*Lynch*, col. 3, line 55 col. 4, line 49; col. 21, line 49 col. 22, line 25; col. 35, lines 6-67).
- 29. Further references of interest are cited on Form PTO-892, which is an attachment to this action.

30. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hai V. Nguyen whose telephone number is 703-306-0276. The examiner can normally be reached on 6:00-3:30 Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jack Harvey can be reached on 703-305-9705. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Hai V. Nguyen Examiner Art Unit 2142

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